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Article

Detection of spectral hardenings in cosmic-ray boron-to-carbon and boron-to-oxygen flux ratios with DAMPE

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ABSTRACT

Boron nuclei in cosmic rays (CRs) are believed to be mainly produced by the fragmentation of heavier nuclei, such as carbon and oxygen, via collisions with the interstellar matter. Therefore, the boron-to-carbon flux ratio (B/C) and the boron-to-oxygen flux ratio (B/O) are very essential probes of the CR propagation. The energy dependence of the B/C ratio from previous balloon-borne and space-based experiments can be well described by a single power-law up to about 1 TeV/n within uncertainties. This work reports direct measurements of B/C and B/O in the energy range from 10 GeV/n to 5.6 TeV/n with 6 years of data collected by the Dark Matter Particle Explorer, with high statistics and well controlled systematic uncertainties. The energy dependence of both the B/C and B/O ratios can be well fitted by a broken power-law model rather than a single power-law model, suggesting the existence in both flux ratios of a spectral hardening at about 100 GeV/n. The significance of the break is about 5.6σ and 6.9σ for the GEANT4 simulation, and 4.4σ and 6.9σ for the alternative FLUKA simulation, for B/C and B/O, respectively. These results deviate from the predictions of conventional turbulence theories of the interstellar medium (ISM), which point toward a change of turbulence properties of the ISM at different scales or novel propagation effects of CRs, and should be properly incorporated in the indirect detection of dark matter via anti-matter particles.

1. Introduction

Galactic cosmic rays (CRs) are energetic particles travelling through the interstellar space. They are messengers of the violent evolution of stars or stellar systems in extreme environments. CRs are typically divided into two classes, the primary and secondary families. Primary CRs are accelerated at astrophysical sources such as supernova remnants, while secondaries are produced from the interactions of the primaries with the interstellar medium (ISM) during the propagation [1,2]. The spectrum of accelerated particles at the source is expected to follow a power-law form $\mathscr{R}^{-p}$ according to the Fermi acceleration mechanism [3], where $\mathscr{R}$ is the rigidity and p is the power-law index. After the diffusive propagation in the ISM, the spectrum of primary CRs would soften to be $\propto \mathscr{R}^{-(p+\delta)}$, where δ is the slope of the rigidity-dependence of the diffusion coefficient. The parameter δ depends on the power spectrum of the turbulence of the ISM, with typical values of 1/3 for the Kolmogorov theory of interstellar turbulence [4] or 1/2 for the Kraichnan theory [5]. The spectrum of secondary CRs generated by the interaction of primary particles with the ISM is expected to be even softer, $\propto \mathscr{R}^{-(p+2\delta)}$. The flux ratio of the secondary-to-primary CRs is then $\propto \mathscr{R}^{-\delta}$, which sensitively depends on the propagation procedure. Precise measurements of the secondary-to-primary flux ratios are thus crucial to reliably constrain the propagation process of CRs [1,2].

Lithium, beryllium, and boron nuclei in CRs are dominantly produced by the fragmentation of heavier nuclei, since their primary abundances from stellar nucleosynthesis are many orders of magnitude lower than those of protons, helium, carbon, and oxygen. Among all the secondary-to-primary ratios, the B/C ratio is the most extensively measured. The B/O is in principle more directly related to the propagation procedure of CRs than B/C, due to that there is a small amount of secondary contribution for the carbon nuclei. Thanks to the contributions from worldwide experiments, the B/C ratio has been measured up to a few TeV/n [6–16], although the uncertainties are relatively large for kinetic energies above 500 GeV/n. A power-law decline form, $\propto \mathscr{R}^{-1/3}$, can well fit the rigidity (energy) dependence of the B/C ratio, in agreement with the prediction of the Kolmogorov turbulence [13]. Nevertheless, evidence of breaks of the secondary-to-primary flux ratios was shown by the AMS-02 measurements [15,16], though the break is not significant for individual B/C or B/O ratio. Improved measurements of the secondary-to-primary ratios, especially

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towards higher energies, are highly necessary to further understand the propagation of CRs and the properties of the ISM.

2. Results

In this work, we report the direct measurements of B/C and B/O with the DArk Matter Particle Explorer (DAMPE; also known as "Wukong"), a satellite-borne detector for high energy cosmic-ray and γ-ray observations [17]. The DAMPE payload consists of a Plastic Scintillator Detector (PSD) for the charge measurement, a Silicon Tungsten tracKer-converter (STK) for the trajectory reconstruction, a bismuth germanium oxide (BGO) imaging calorimeter for the energy measurement and electron–hadron discrimination, and a NeUtron Detector (NUD) to enhance electron–hadron separation [17,18]. With its relatively large geometric factor, good charge [19] and energy resolution [17], DAMPE is expected to extend the precise measurements of individual spectra of high-abundance CR species from protons to Iron nuclei up to a few hundreds of TeV energies [20,21]. The DAMPE satellite was launched into a 500-km Sun-synchronous orbit on 17 December 2015, and has operated stably in space since then, as illustrated by the on-orbit calibration [22].

The analysis presented in this work is based on the data recorded in the first 6 years of DAMPE's operation, from January 1, 2016 to December 31, 2021. The live time fraction is about 75.85% after excluding the instrument dead time, the time for the on-orbit calibration, the time in the South Atlantic Anomaly (SAA) region, and the period between September 9, 2017 and September 13, 2017 during which a big solar flare affected the status of the detector [23]. The boron, carbon, and oxygen nuclei are efficiently identified based on the PSD charge measurement. Fig. 1 illustrates the reconstructed PSD charge distributions for events with $Z = 4 - 8$ and deposited energies in the calorimeter of 630 GeV to 2 TeV, and 3.16 TeV to 10 TeV. The Monte Carlo (MC) simulations for nuclei from beryllium to oxygen, generated with GEANT v4.10.05 [24], are shown by dashed lines to illustrate a best-fit to the flight data. Here, we suppress lighter nuclei ($Z < 4$) using a STK charge selection (see the Supplementary materials for details). Residual nuclei lighter than beryllium are too low to be shown in these plots.

The boron, carbon, and oxygen candidates are selected with energy-independent charges of [4.7, 5.3], [5.6, 6.4], and [7.6, 8.5], respectively. The total contamination of the boron sample is found to be $\sim 1\%$ for deposited energies around 100 GeV and $\sim 4.5\%$ around 50 TeV, while the contamination of the carbon and oxygen sample is $< 0.6\%$ and $< 1.6\%$ respectively, over the entire energy range. In Fig. 1, the distribution of MC oxygen shows a more prominent tail on the lower charge side compared with those from other nuclei, which is primarily due to their different fragmentation cross sections with the materials above or in the PSD. As a result, the contamination to boron from oxygen is larger than that from carbon. Similar distributions are also shown for the FLUKA [25] simulations, although the inelastic interactions of FLUKA and GEANT4 are different.

The selection efficiency and the energy response of the calorimeter are obtained with MC simulations, and validated from the flight data and the test beam data. Applying an unfolding procedure [26], we derive the B/C and B/O ratios in the energy range from 10 GeV/n to 5.6 TeV/n, as shown in Fig. 2 and tabulated in Table 1. The atomic mass numbers are assumed to be 10.7 (see Ref. [13]), 12, and 16 for boron, carbon, and oxygen, respectively. Compared with previous measurements by HEAO3 [6], CRN [7], ATIC-2 [9], CREAM-I [10], TRACER [11], PAMELA [12], AMS-02 [16], and NUCLEON [14], the DAMPE measurements are well consistent with them at low energies ($E_k \lesssim 500$ GeV/n) and improve the precision significantly at high energies. Particularly, the DAMPE results provide the first precise measurements of the B/C and B/O ratios above 1 TeV/n.

3. Discussion and conclusion

Fits to the DAMPE measurements show that both the energy dependence of B/C and B/O deviate from single power-law (PL) forms in the measured energy range. A broken power-law (BPL) model fit yields to a $\chi^2 = 6.61$ for 5 degrees of freedom (dof) while the PL fit yields to a $\chi^2 = 42.35$ for 7 dof for B/C, for the GEANT4 simulation. Similarly, for B/O, the BPL fit gives $\chi^2/\mathrm{dof} = 5.51/5$ while the PL fit yields $\chi^2/\mathrm{dof} = 57.81/7$. Therefore, the DAMPE data favor a spectral break of B/C (B/O) with a significance of 5.6σ (6.9σ) through comparing the $\Delta\chi^2$ values. The fits to the results with the FLUKA simulation give a significance of 4.4σ (6.9σ) for the B/C (B/O) ratio. The break energy is found to be $98.9^{+8.9+10.0}_{-8.8-0.0}$ ($99.5^{+7.4+7.7}_{-7.1-0.0}$) GeV/n, and the spectral indices below/above E_b are $(\gamma_1, \gamma_2) = (0.356^{+0.008+0.000}_{-0.008-0.017}, 0.201^{+0.024+0.008}_{-0.024-0.000})$ and $(\gamma_1, \gamma_2) = (0.394^{+0.010+0.000}_{-0.010-0.026}, 0.187^{+0.024+0.000}_{-0.024-0.019})$ for B/C and B/O, respectively (see the Supplementary materials for details). Here, the first error comes from the fitting and the second error comes from the

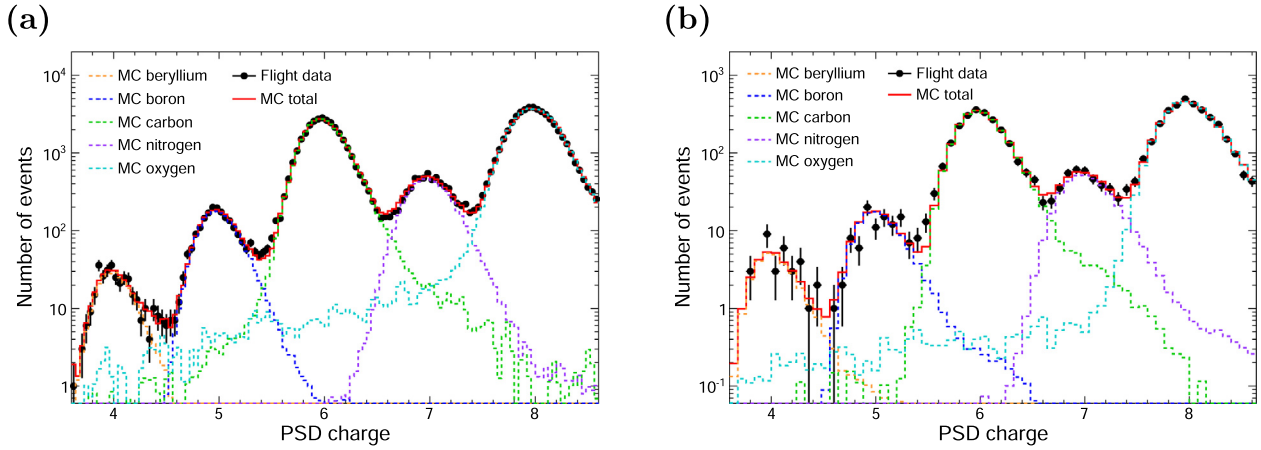

Fig. 1. The charge distributions measured by PSD for particles with $Z = 4 - 8$ and deposited energies in the calorimeter of 630 GeV to 2 TeV (a), and 3.16 TeV to 10 TeV (b). The flight data are shown by black dots. Dashed lines with different colors show the best-fit MC simulated samples of beryllium, boron, carbon, nitrogen, and oxygen nuclei. The sum of MC samples is shown by the red line.

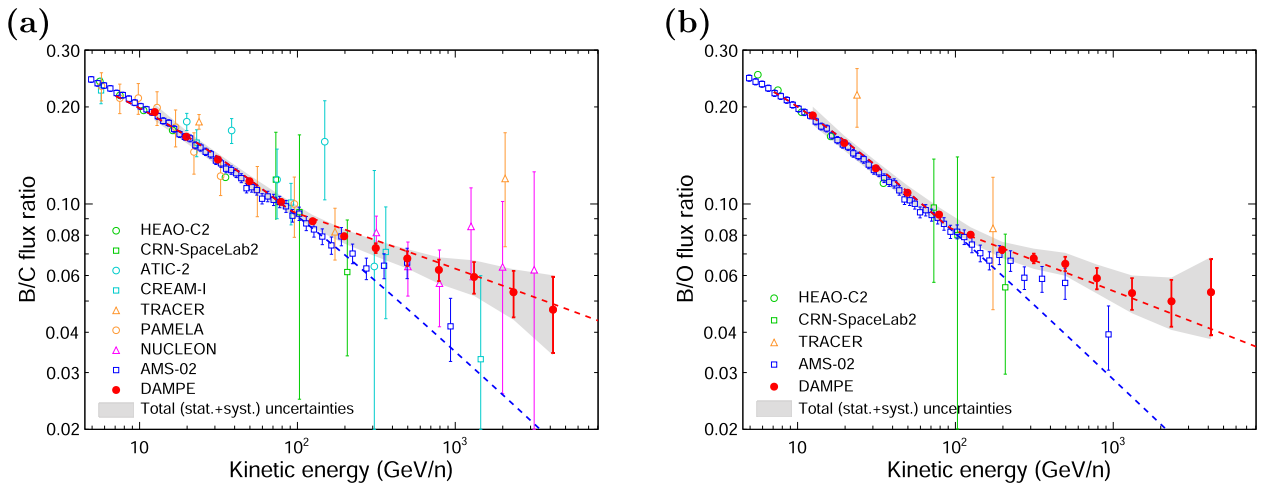

Fig. 2. Boron-to-carbon (a) and boron-to-oxygen (b) flux ratios as functions of kinetic energy per nucleon. DAMPE measurements are shown by red filled dots, with error bars and shaded bands representing the statistical and total uncertainties, respectively. The total uncertainties are the sum in quadrature of the statistical and systematic ones. The blue dashed lines show the fitting results for a GALPROP model with single power-law rigidity dependence of the diffusion coefficient, and the red dashed lines are the results with a hardening of the diffusion coefficient at 200 GV. In panel (a), other direct measurements by HEAO3 [6] (green circles), CRN [7] (green squares), ATIC-2 [9] (cyan circles), CREAM-I [10] (cyan squares), TRACER [11] (orange triangles), PAMELA [12] (orange circles), NUCLEON-KLEM [14] (magenta triangles) and AMS-02 [16] (blue squares) are shown for comparison. In panel (b), the measurements of B/O by HEAO3 [6] (green circles), CRN [7] (green squares), TRACER [11] (orange triangles) and AMS-02 [16] (blue squares) are shown. For the AMS-02 results [16], we convert the ratios from rigidity to kinetic energy per nucleon assuming an atomic mass number of 10.7 for boron, 12.0 for carbon, 16.0 for oxygen, and a power-law spectrum of carbon (oxygen) with an index of −2.6. The error bars of TRACER, CREAM-I, PAMELA, and AMS-02 data include both statistical and systematic uncertainties added in quadrature. For HEAO3, CRN, ATIC-2, and NUCLEON data only the statistical uncertainties are shown.

Table 1
Boron-to-carbon and boron-to-oxygen flux ratios measured with DAMPE, together with 1σ statistical and systematic uncertainties.

$\langle E \rangle$ (GeV/n)	$E_{\rm min}$ (GeV/n)	$E_{\rm max}$ (GeV/n)	B/C ratio $\pm \sigma_{\rm stat} \pm \sigma_{\rm sys}$	B/O ratio $\pm \sigma_{\rm stat} \pm \sigma_{\rm sys}$
12.5	10.0	15.8	$0.1926 \pm 0.0017 \pm 0.0111$	$0.1882 \pm 0.0025 \pm 0.0119$
19.8	15.8	25.1	$0.1616 \pm 0.0007 \pm 0.0070$	$0.1546 \pm 0.0008 \pm 0.0081$
31.3	25.1	39.8	$0.1373 \pm 0.0006 \pm 0.0061$	$0.1290 \pm 0.0007 \pm 0.0068$
49.7	39.8	63.1	$0.1176 \pm 0.0007 \pm 0.0051$	$0.1084 \pm 0.0008 \pm 0.0057$
78.7	63.1	100	$0.1015 \pm 0.0010 \pm 0.0044$	$0.0927 \pm 0.0010 \pm 0.0049$
125	100	158	$0.0884 \pm 0.0013 \pm 0.0038$	$0.0803 \pm 0.0012 \pm 0.0042$
198	158	251	$0.0794 \pm 0.0018 \pm 0.0036$	$0.0722 \pm 0.0017 \pm 0.0038$
313	251	398	$0.0730 \pm 0.0025 \pm 0.0033$	$0.0678 \pm 0.0024 \pm 0.0043$
497	398	631	$0.0678 \pm 0.0035 \pm 0.0031$	$0.0652 \pm 0.0034 \pm 0.0041$
787	631	1000	$0.0624 \pm 0.0048 \pm 0.0034$	$0.0588 \pm 0.0045 \pm 0.0041$
1315	1000	1778	$0.0594 \pm 0.0067 \pm 0.0034$	$0.0529 \pm 0.0059 \pm 0.0039$
2339	1778	3162	$0.0532 \pm 0.0088 \pm 0.0036$	$0.0499 \pm 0.0083 \pm 0.0041$
4160	3162	5623	$0.0470 \pm 0.0125 \pm 0.0038$	$0.0532 \pm 0.0141 \pm 0.0055$

comparison with the alternative analysis based on the FLUKA simulation. We find that the break energies and the high-energy spectral indices of B/C and B/O are consistent with each other, while the low-energy spectral index of B/C is slightly harder than that of B/O. The difference may come from the fact that the carbon spectrum is softer than the oxygen spectrum below ~ 100 GeV/n as revealed by AMS-02 [16] and CALET [27], which may be due to a small secondary contribution of carbon from oxygen and heavier nuclei. The corresponding spectral index changes are found to be $\Delta\gamma = 0.155^{+0.026+0.000}_{-0.026-0.026}$ ($\Delta\gamma = 0.207^{+0.027+0.000}_{-0.028-0.007}$) for B/C (B/O).

The DAMPE results have far-reaching implications on the propagation of Galactic CRs. The slope parameter δ of the diffusion coefficient is predicted to be either 1/3 or 1/2 in the conventional turbulence theories [4,5]. The detection of spectral hardenings in the B/C and B/O ratios by DAMPE thus challenges these conventional scenarios. To introduce a spectral break of the diffusion coefficient may be the simplest solution to account for the observations [28]. We have illustrated in Fig. 2 that the fitting to the pre-DAMPE data with a single power-law form of the diffusion coefficient, $D(R) \propto R^{\delta}$ with $\delta = 0.477$ [29], using the GALPROP model [30] assuming the convective transportation of CRs, deviates clearly from the DAMPE high-energy measurements (see the blue dashed lines). If we add a spectral break at $R_{\rm br} = 200$ GV, with a high-energy slope $\delta_h = 0.2$, the model prediction matches well with the measurements as shown by the red dashed lines. Intriguingly, the inferred $\delta = 0.477$ at rigidities of $\leqslant 200$ GV is very close to the prediction of the Kraichnan theory of turbulence [5]. At higher rigidities, the rigidity dependence of $R^{-0.2}$ is harder than that expected by the Kolmogorov theory of turbulence [4]. This deviation may be relieved if a small amount of secondary particles were generated at the sources (i.e., they experience the same propagation process and thus give rise to a constant, although small, ratio). Our findings may thus imply the change of turbulence properties of the ISM at different scales, e.g., from the magnetized turbulence (Kraichnan type) at smaller scales to isotropic, stationary hydrodynamic turbulence (Kolmogorov type) at larger scales.

Alternatively, more complicated propagation or acceleration effects of CRs may also result in hardenings of the secondary-to-primary ratios. These models include, but are not limited to, the nested leaky box propagation model with different energy-dependence of the residence time in the ISM and the cocoon regions surrounding the sources [31], the production and acceler-

ation of secondary particles at sources [32], the re-acceleration of CRs by random magnetohydrodynamic waves during the propagation [29] or by a local shock [33], the self-generation of turbulence by CRs [34], the spatially-dependent diffusion of particles [35], or possibly, a mixture of some of them [36].

In addition to the CR propagation studies, a significant spectral hardening of B/C (B/O) should be properly addressed in the search of dark matter annihilation or decay products with the antiparticle CRs, such as positrons and antiprotons, since the predictions of astrophysical background and the dark matter induced signal should both be affected by the change of the diffusion process. For instance, the previously claimed excess in the anti-proton data [37,38] may need a thorough re-examination to critically address its potential connection with the dark matter annihilation or decay. Improved measurements of the B/C, B/O, and other secondary-to-primary ratios with higher statistics and lower systematics by DAMPE and future direct detection experiments such as HERD [39], AMS-100 [40], and ALADInO [41] are expected to eventually uncover the fundamental problems of the origin and propagation of CRs and shed new light on the indirect detection of dark matter particles.

Conflict of interest

The authors declare that they have no conflict of interest.

Acknowledgments

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Appendix A. Supplementary materials

Supplementary materials to this article can be found online at https://doi.org/10.1016/j.scib.2022.10.002.

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The DAMPE experiment

The DAMPE is the first Chinese astronomical satellite, which consists of four sub-detectors, including the plastic scintillator detector, the silicon tracker, the BGO calorimeter and the neutron detector. As a general-purpose high-energy cosmic ray and gamma-ray detector, DAMPE is distinguished by the unprecedented high energy resolution on measuring the cosmic ray electrons and gamma-rays. The main scientific objectives addressed by DAMPE include probing the dark matter via the detection of high-energy electrons/positrons and gamma-rays, understanding the origin, acceleration, and propagation of cosmic rays in the Milky Way, and studying the gamma-ray astronomy. The DAMPE mission is funded by the Strategic Priority Science and Technology Projects in Space Science of the Chinese Academy of Sciences. The DAMPE Collaboration consists of more than 150 members from 3 countries, including physicists, astrophysicists, and engineers.

DAMPE collaboration

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